

GUIDELINES FOR THE EVALUATION OF FOUNDATION MOVEMENT FOR RESIDENTIAL AND OTHER LOW-RISE BUILDINGS

Document no: FPA-SC-13

Developed by: FPA Structural Committee

Committee chairs: Main Committee - Ron Kelm, P.E.
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Presented by: Lowell Brumley, P.E., TSG Consultants Inc.

Presented to : Foundation Performance Association

Presented on: 8 August 2007

**Why was it written?
...a brief history...**

FPA-SC-13.0 Guidelines for the Evaluation of Foundation Movement for Residential and Other Low-Rise Buildings 15 July 2007
Issued for Website Publication Foundation Performance Association - Structural Committee Page 1 of 30

**GUIDELINES FOR THE EVALUATION OF
FOUNDATION MOVEMENT FOR
RESIDENTIAL AND OTHER LOW-RISE BUILDINGS**

by
The Structural Committee
of
The Foundation Performance Association
www.foundationperformance.org
Houston, Texas

Document # FPA-SC-13-0

ISSUE HISTORY (Initial issue and issues outside the Structural Committee)

#	Date	Description	Subcommittee Chair	Subcommittee Members
A	1 Sep 04	Issued for Committee Review	Lowell Brumley	Dan Jagers
Z	13 Mar 07	Issued for 90-Day Peer Review to FPA and ASCE-Tx		Michael Skoller
AA	19 Jun 07	Issued for Committee Review of all Peer Review Comments		Ron Kelm
0	15 Jul 07	Issued for Website Publication		Michael Gray
				John Clark
				Nicole Wylie
				Dick Peverley
				Denis Hanys

Who can use this document?





Document Summary

Levels of Investigation

- Per ASCE Texas Section's *Guidelines for the Evaluation and Repair of Residential Foundations*
- Levels A, B and C

Data Acquisition & Presentation

Data Evaluation

Allowable Criteria for
Foundation Movement

**Guidelines for the
Evaluation and Repair of Residential Foundations**

Version 1

**By the Texas Section
American Society of Civil Engineers**

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Level A Investigation

- **Interview the occupant/
owner/client for history of
property and performance of
structure**
- **Review client supplied
documents**
- **Make visual observations
during walk-through**
- **Observe factors influencing
the performance of the
foundation**
- **Provide written report, if
requested by client**

**Guidelines for the
Evaluation and Repair of Residential Foundations**

Version 1

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Level B Investigation

- **All of Level A, with written report**
- **Determine relative foundation elevations in sufficient detail to represent the shape of the foundation adequately**
- **Include drawing showing relative elevations**

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Level C Investigation

- **All of Levels A and B**
- **Additional services, testing and related reports deemed appropriate by the Engineer. May include soil test, plumbing test, material test, etc.**
- **More detailed written report, which may include scaled drawings, tree survey, photos, distress survey.**



Document Summary

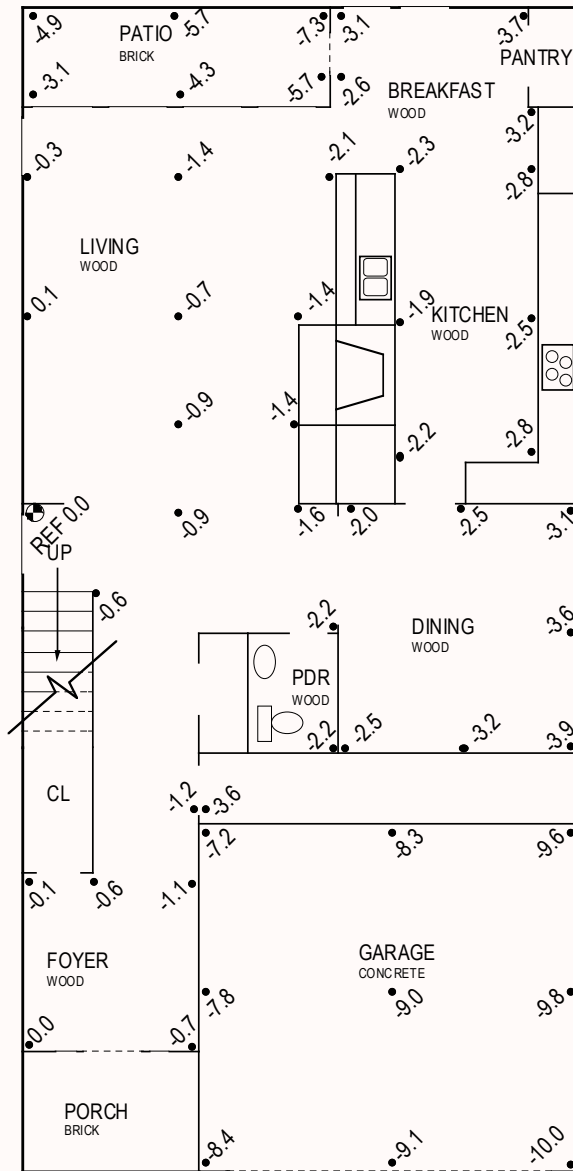
Levels of Investigation

**Data Acquisition &
Presentation**

Data Evaluation

Allowable Criteria for
Foundation Movement

Data Acquisition and Presentation



Zip Level

Digital Leveling Systems Electronic Water Level





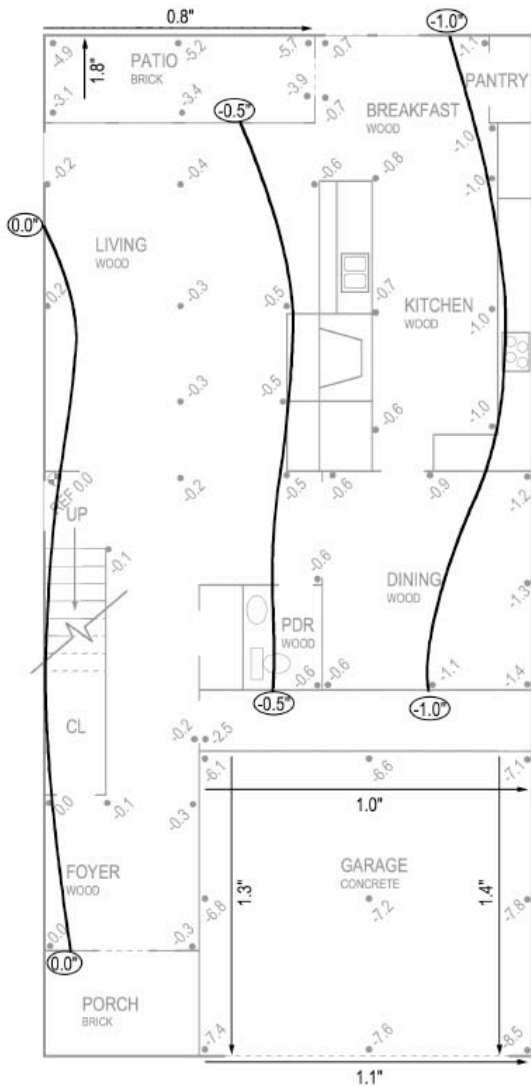
Document Summary

Levels of Investigation

Data Acquisition &
Presentation

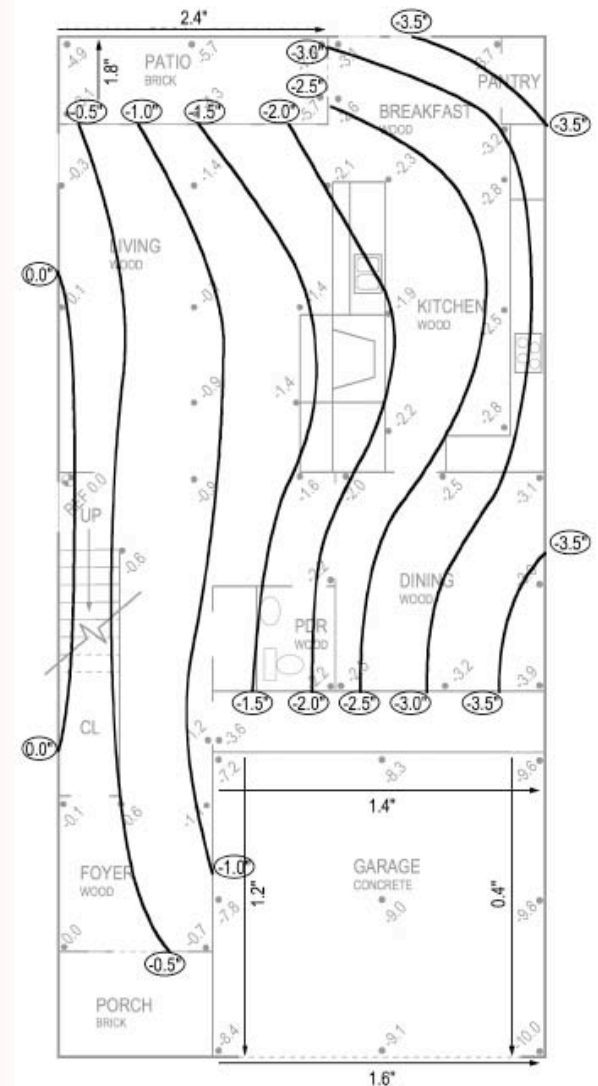
Data Evaluation

Allowable Criteria for
Foundation Movement



Data Evaluation

Comparing elevations
over a two-year period
using Contours





Document Summary

Levels of Investigation

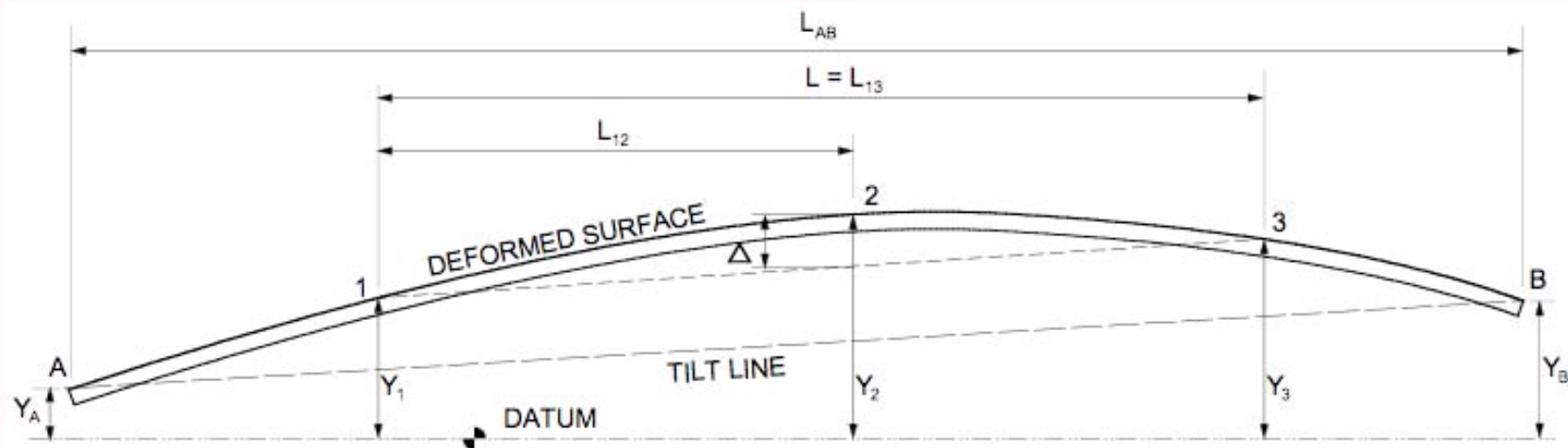
Data Acquisition &
Presentation

Data Evaluation

**Allowable Criteria for
Foundation Movement**

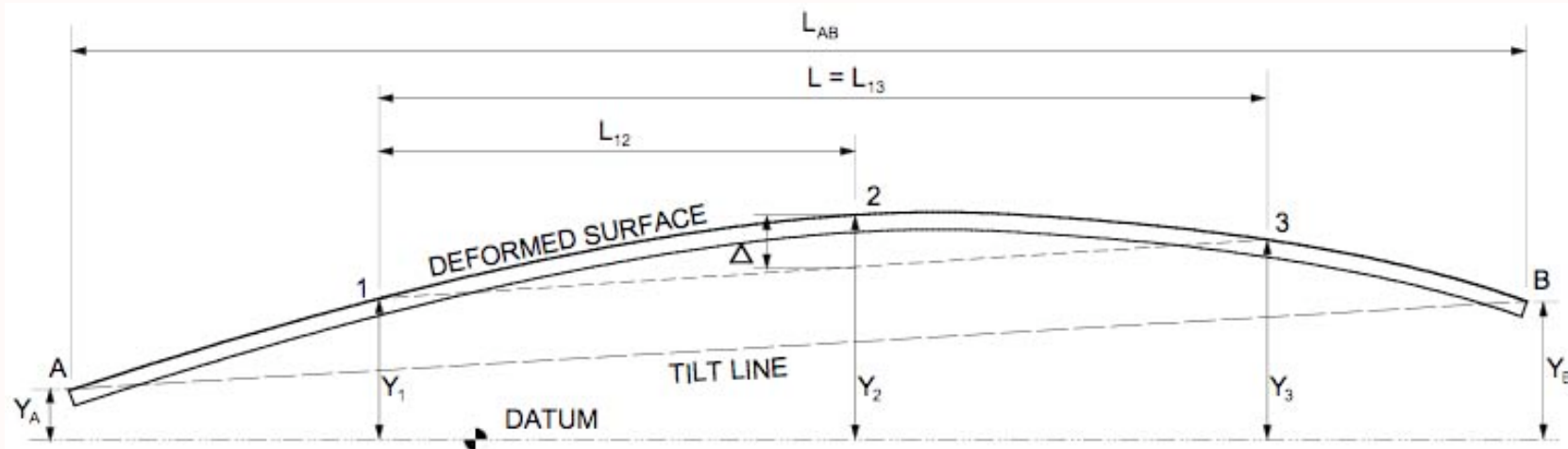
Important Definitions:

Deflection is the distorted shape of a structural element due to bending. As shown below, Deflection is the vertical distance between any point 2 on the surface and a line L_{13} that connects two end points 1 and 3 on that surface.



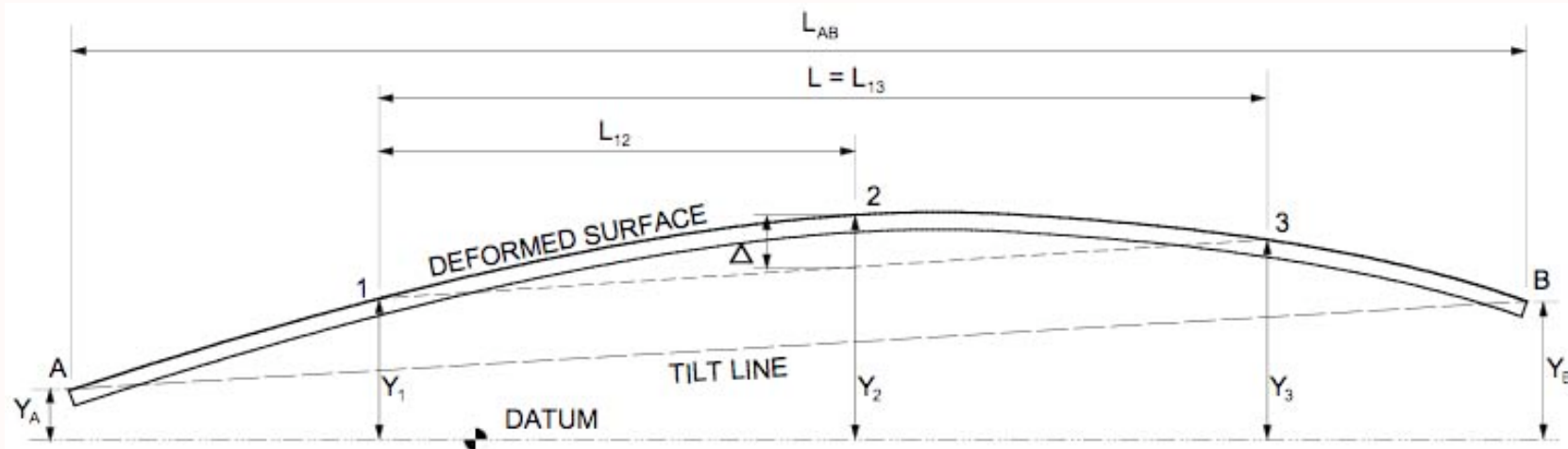
Important Definitions:

Deflection Ratio is defined as the Deflection divided by the horizontal distance over which the Deflection occurs, and is used as criteria of acceptance when evaluating Foundation Movement.



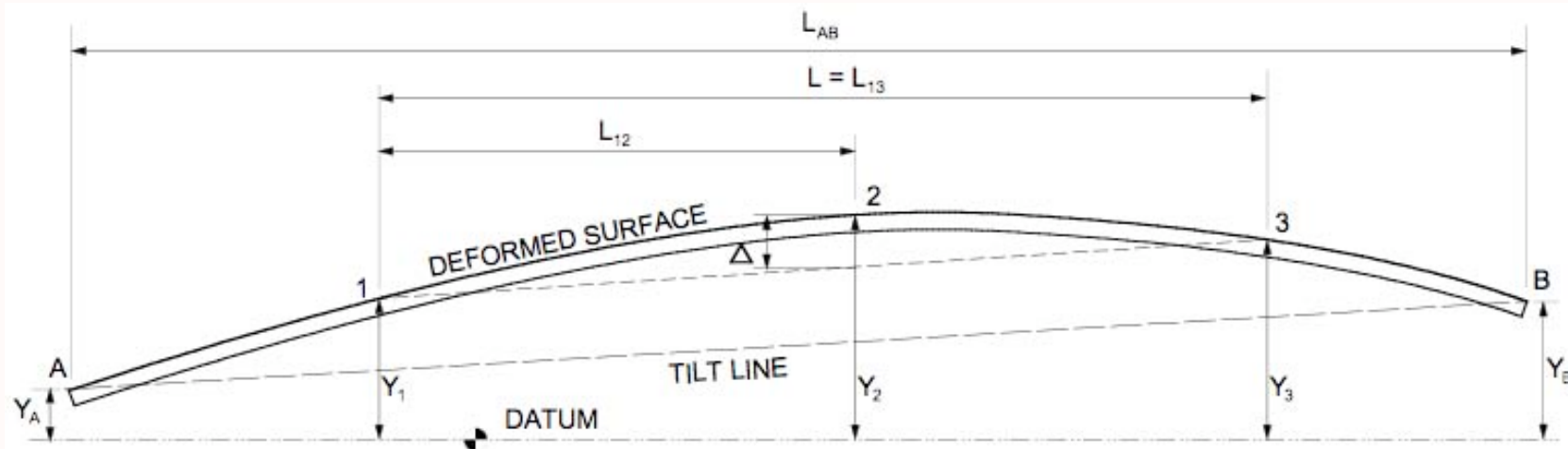
Important Definitions:

Deflection Limit is defined as the Effective Length divided by a number, and is used as criteria of acceptance when evaluating Foundation Movement. Deflection Limit is the length times the maximum Deflection Ratio.



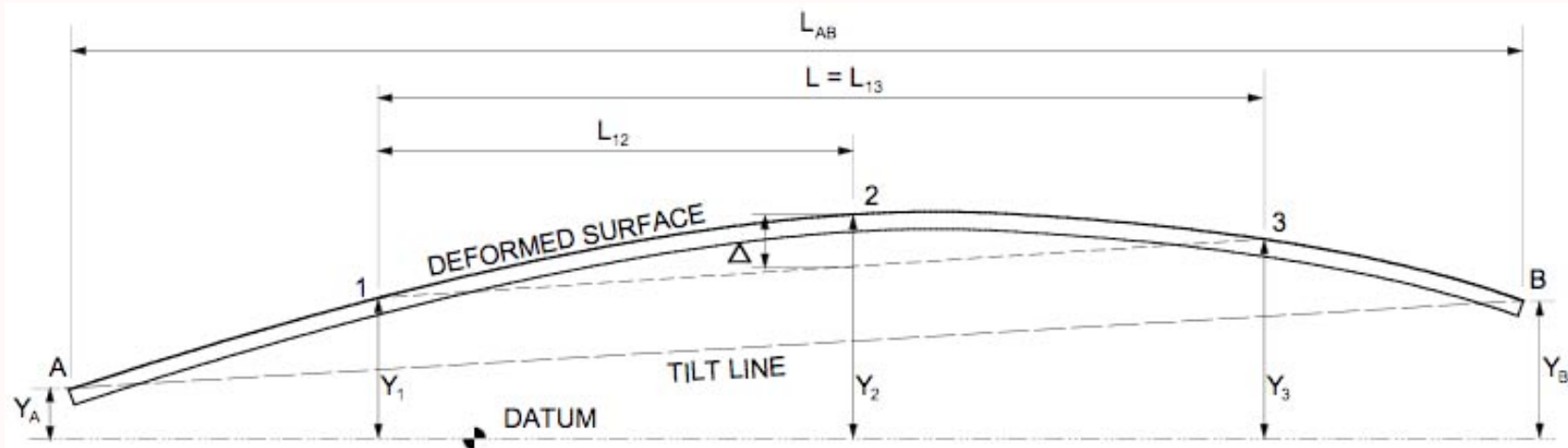
Important Definitions:

Tilt is defined as a planar rotation, measured over the length or width of the Foundation.



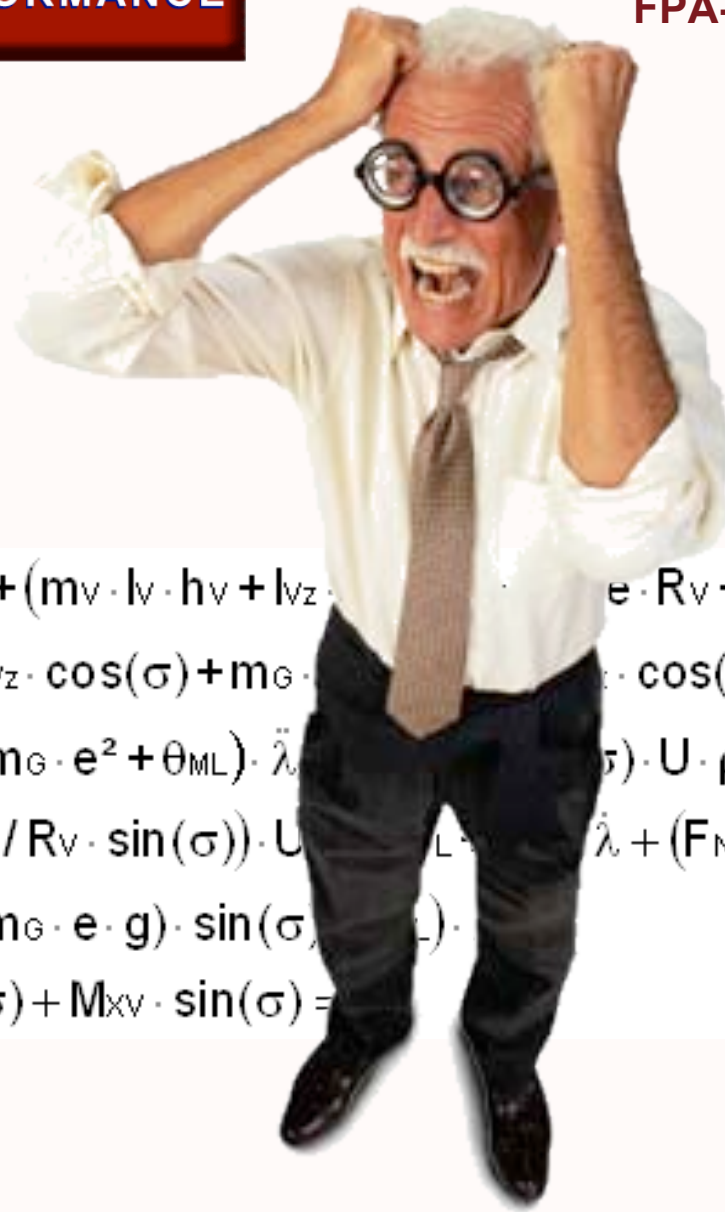
Important Definitions:

Effective Length is the length of a straight line (L) drawn along a minimum of three points in the plan view for which the Elevations are known, multiplied by the "k" factor (i.e., kL).



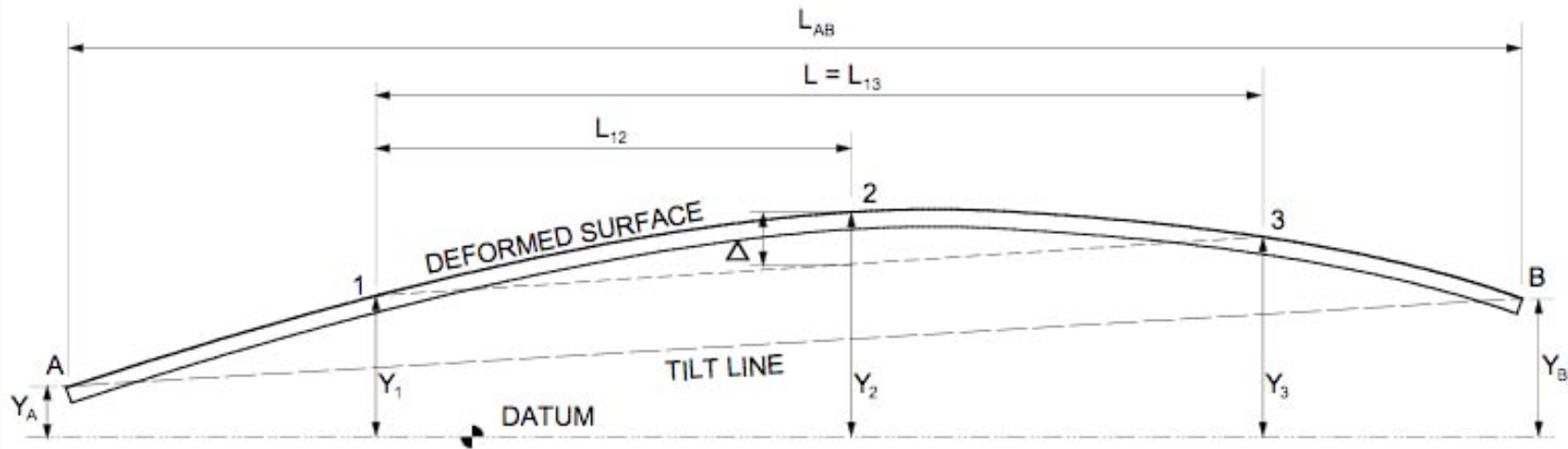
Deflection calcs are easy... just solve the following equation:

$$\begin{aligned}
 & (m_V \cdot l_V + m_G \cdot e) \cdot \ddot{V} + (m_V \cdot l_V \cdot h_V + l_{VZ} \cdot \sin(\sigma) + m_G \cdot e \cdot R_V + I_{GZ} \cdot \sin(\sigma)) \cdot \ddot{\rho} + \\
 & (m_V \cdot l_V \cdot (a_H - a_V) + l_{VZ} \cdot \cos(\sigma) + m_G \cdot e \cdot (a_N - N) + I_{GZ} \cdot \cos(\sigma) + \theta_x) \cdot \ddot{\gamma} + \\
 & (l_{VZ} + m_V \cdot l_V^2 + I_{GZ} + m_G \cdot e^2 + \theta_{ML}) \cdot \ddot{\lambda} - l_{Vy} / R_V \cdot \cos(\sigma) \cdot U \cdot \dot{\rho} + \\
 & (m_V \cdot l_V + m_G \cdot e + l_{Vy} / R_V \cdot \sin(\sigma)) \cdot U \cdot \dot{\gamma} + (r_L + r_{ML}) \cdot \dot{\lambda} + (F_N \cdot n - m_V \cdot l_V \cdot g - m_G \cdot e \cdot g) \cdot \rho + \\
 & ((F_N \cdot n - m_V \cdot l_V \cdot g - m_G \cdot e \cdot g) \cdot \sin(\sigma) + k_{ML}) \cdot \lambda + \\
 & n \cdot F_{SV} + M_{ZV} \cdot \cos(\sigma) + M_{XV} \cdot \sin(\sigma) = 0
 \end{aligned}$$



$$\begin{aligned}
 & (m_V \cdot l_V + m_G \cdot e) \cdot \ddot{V} + (m_V \cdot l_V \cdot h_V + l_{VZ} \cdot \cos(\sigma) + m_G \cdot e \cdot R_V + l_{GZ} \cdot \sin(\sigma)) \cdot \ddot{\rho} + \\
 & (m_V \cdot l_V \cdot (a_H - a_V) + l_{VZ} \cdot \cos(\sigma) + m_G \cdot e \cdot R_V \cdot \cos(\sigma) + \theta_x) \cdot \ddot{\gamma} + \\
 & (l_{VZ} + m_V \cdot l_V^2 + l_{GZ} + m_G \cdot e^2 + \theta_{ML}) \cdot \ddot{\lambda} + (F_N \cdot \sin(\sigma) \cdot U \cdot \dot{\rho} + \\
 & (m_V \cdot l_V + m_G \cdot e + l_{Vy} / R_V \cdot \sin(\sigma)) \cdot U \cdot \dot{\lambda} + (F_N \cdot n - m_V \cdot l_V \cdot g - m_G \cdot e \cdot g) \cdot \rho + \\
 & ((F_N \cdot n - m_V \cdot l_V \cdot g - m_G \cdot e \cdot g) \cdot \sin(\sigma) \cdot U) \cdot \dot{\rho} + \\
 & n \cdot F_{SV} + M_{ZV} \cdot \cos(\sigma) + M_{XV} \cdot \sin(\sigma) =
 \end{aligned}$$

The Real Equations



$$\text{Deflection} = \Delta = Y_2 - \left[Y_1 + \left(\frac{L_{12}}{L} \right) (Y_3 - Y_1) \right]$$

$$\text{Deflection Ratio} = \frac{L}{r} \quad \text{where } r = \frac{L_{\text{inches}}}{\Delta_{\text{inches}}}$$

$$\text{Tilt} = \frac{|Y_B - Y_A|}{L_{AB}} \times 100\%$$

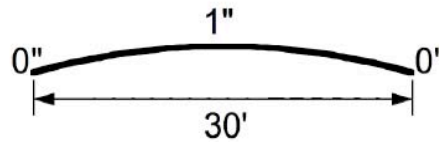
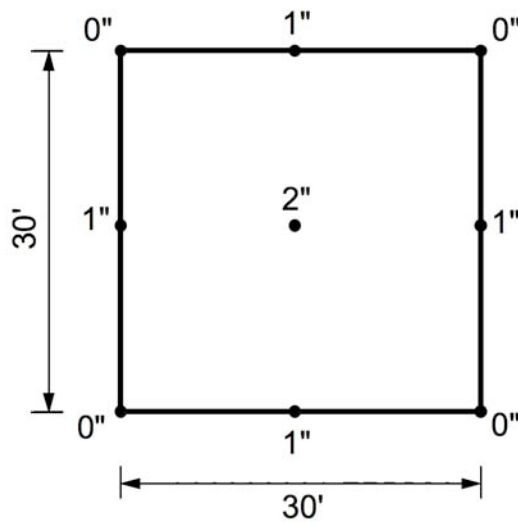
Allowable Criteria for Foundation Movement

The “**k**” factor

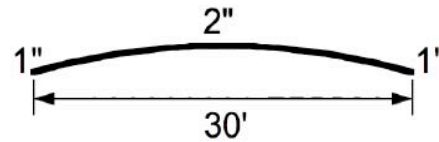
$$k = \frac{\sqrt{\text{length}^2 + \text{width}^2}}{\text{length}}$$

$$\text{Deflection Limit} = kL / 360$$

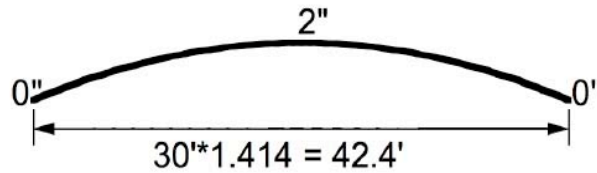
The “**k**” factor



$$\Delta = \frac{(1'' - 0'')}{30' * 12(''/')} = \frac{1}{360}$$



$$\Delta = \frac{(2'' - 1'')}{30' * 12(''/')} = \frac{1}{360}$$



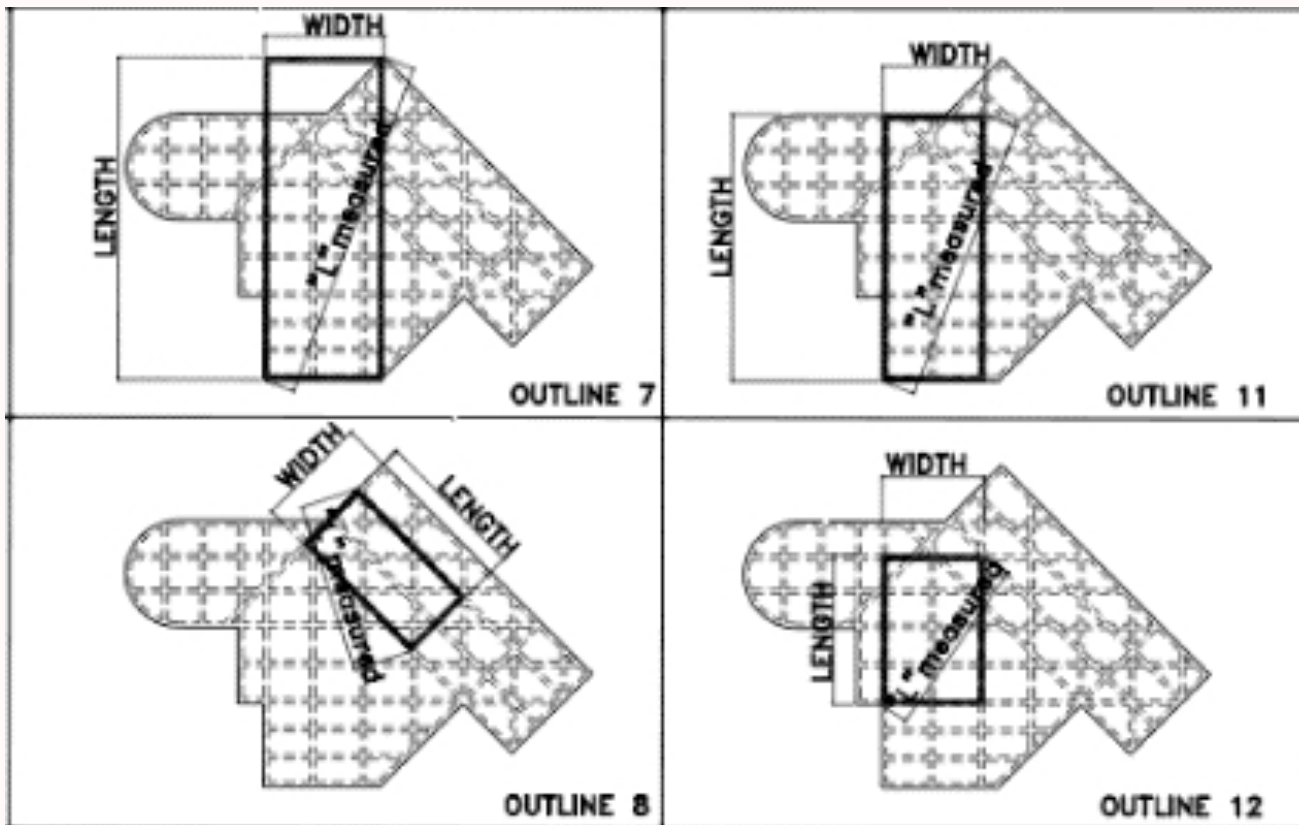
$$\Delta = \frac{(2'' - 0'')}{42.4' * 12(''/')} = \frac{1}{254}$$

$$k = \frac{\sqrt{\text{length}^2 + \text{width}^2}}{\text{length}}$$

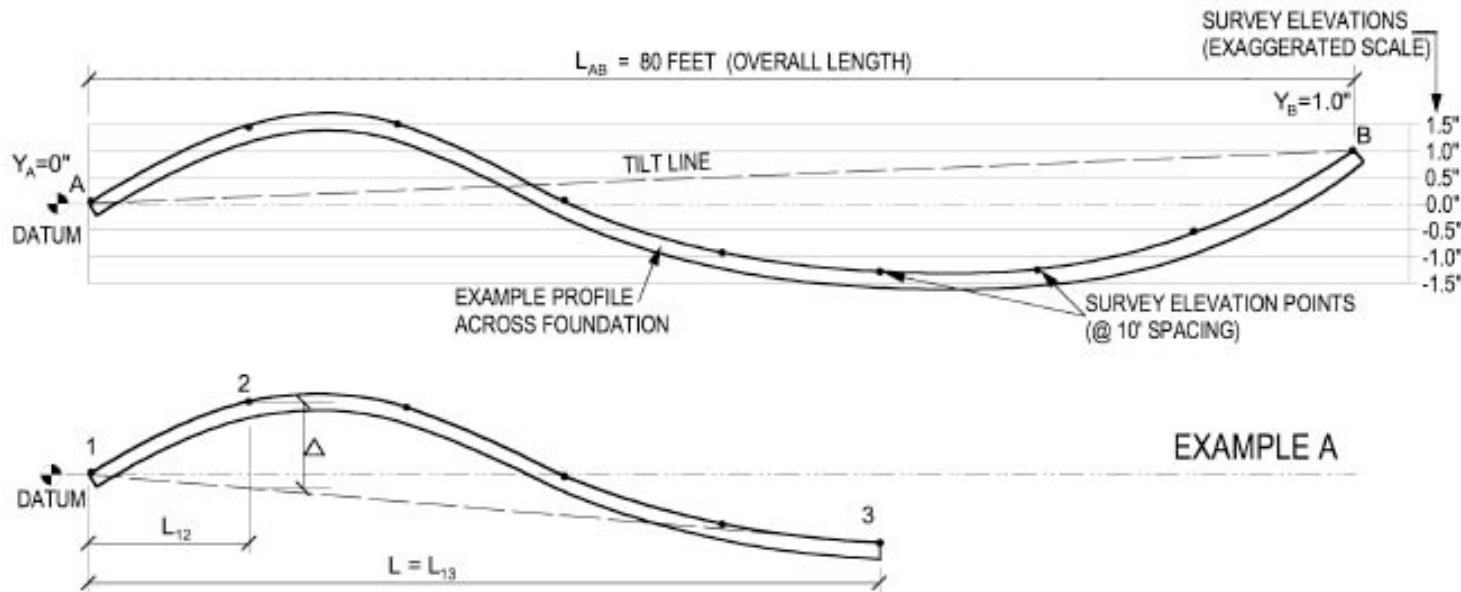
Deflection Limit = kL / 360

Allowable Criteria for Foundation Movement

Determining Principal Axis' Length and Width



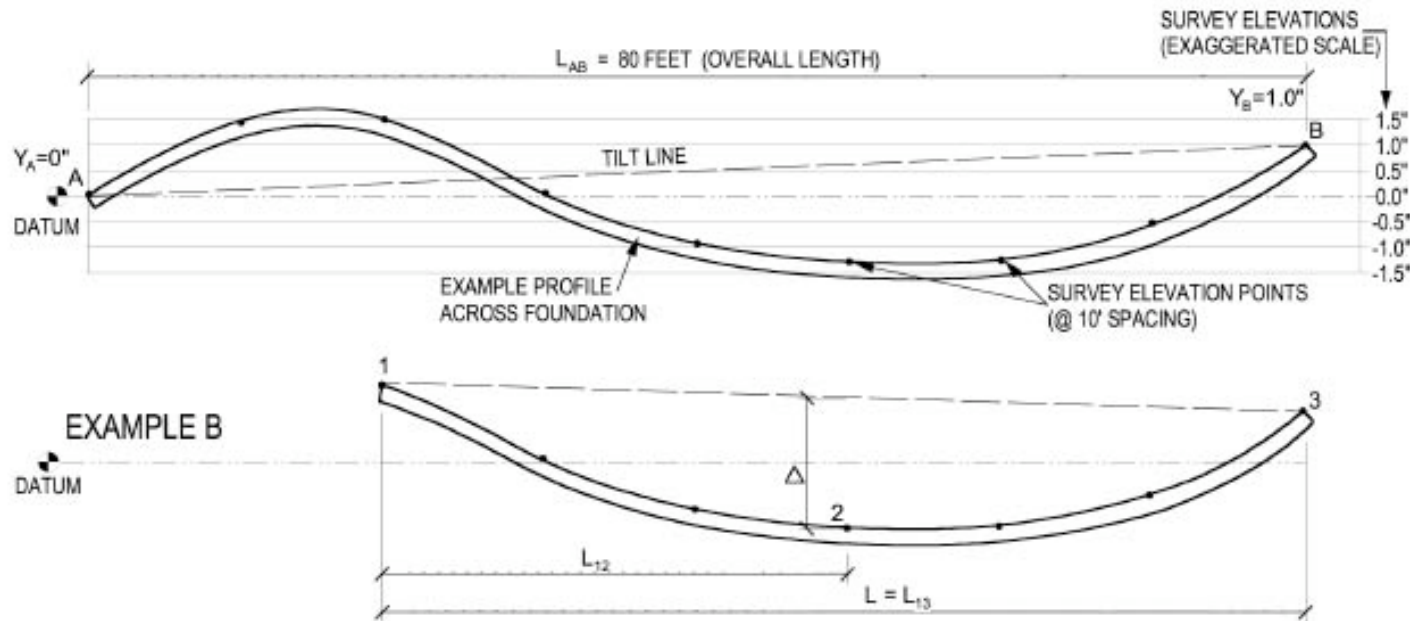
Example A



Ex.	L	L ₁₂	Y ₁	Y ₂	Y ₃	Δ (inches)	
A	50'	10'	0"	1.4"	-1.2"	1.64	L/366

$$\text{Deflection} = \Delta = Y_2 - \left[Y_1 + \left(\frac{L_{12}}{L} \right) (Y_3 - Y_1) \right] = 1.4 - \left[0 + \left(\frac{10}{50} \right) (-1.2 - 0) \right] = 1.64$$

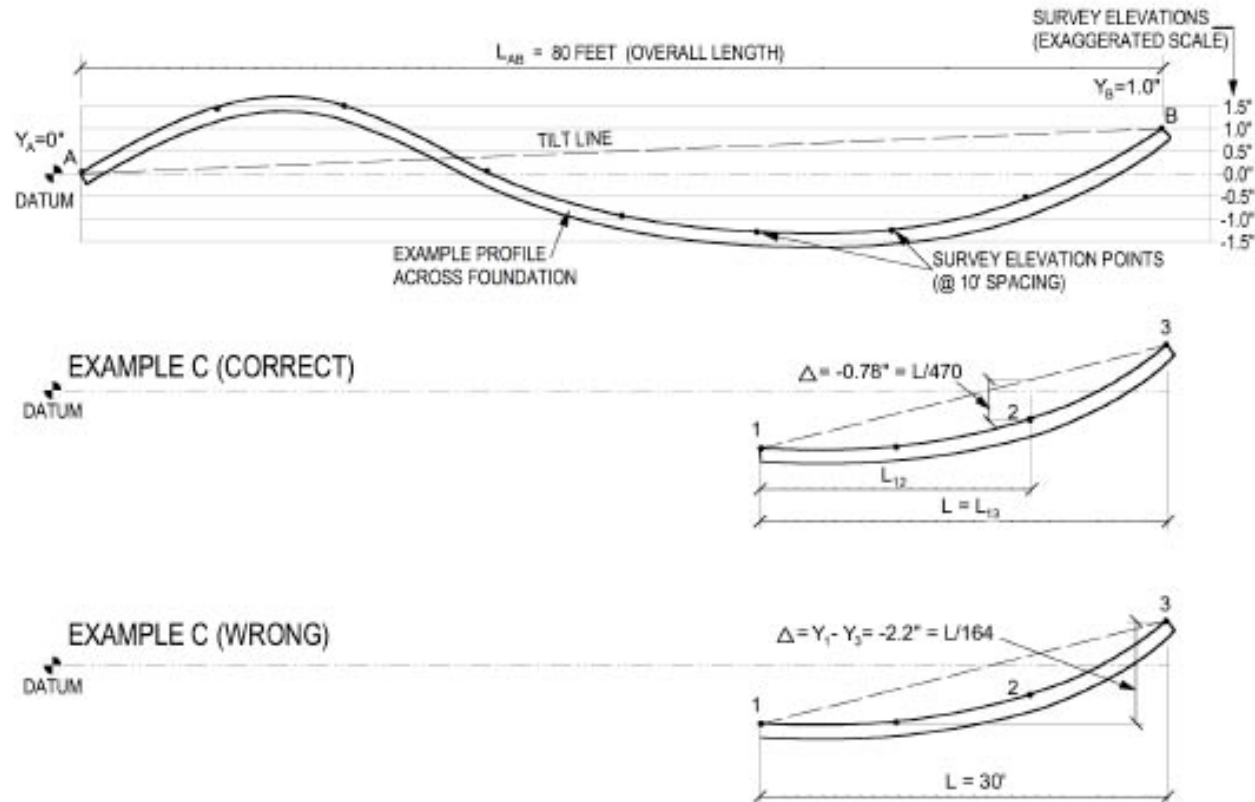
Example B



Ex.	L	L ₁₂	Y ₁	Y ₂	Y ₃	Δ (inches)
B	60'	30'	1.4''	-1.2''	1.0''	-2.4 L/300

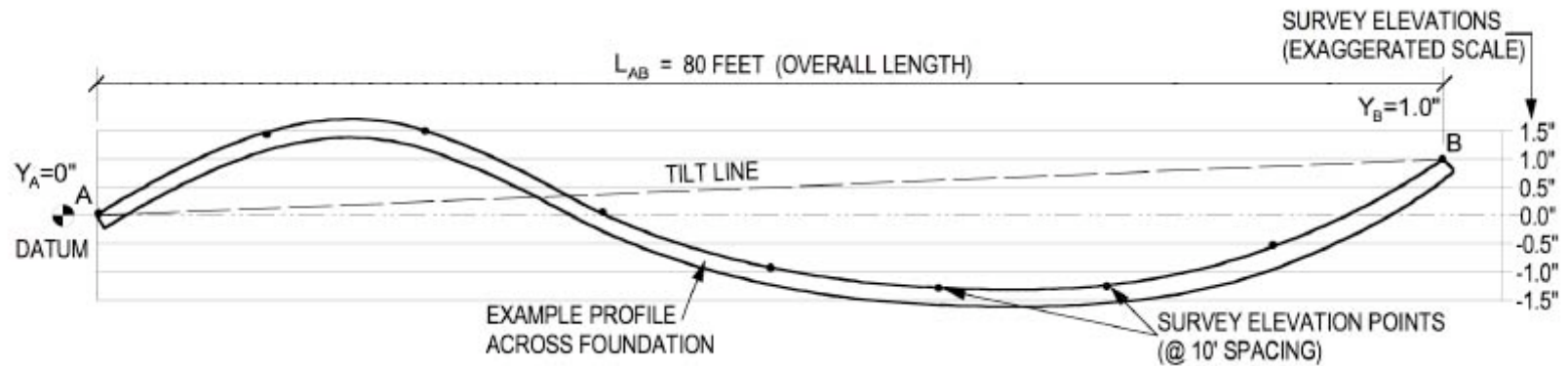
$$\text{Deflection} = \Delta = Y_2 - \left[Y_1 + \left(\frac{L_{12}}{L} \right) (Y_3 - Y_1) \right] = -1.2 - \left[1.4 + \left(\frac{30}{60} \right) (1.0 - 1.4) \right] = -2.4$$

Example C



Ex.	L	L ₁₂	Y ₁	Y ₂	Y ₃	Δ (inches)
C	30'	20'	-1.2''	-0.5''	1.0''	-0.78 L/470

Tilt



$$\text{Tilt} = \frac{|Y_B - Y_A|}{L_{AB}} \times 100\% = \frac{|1.0'' - 0''|}{80' \times 12\text{in/ft}} \times 100\% = 0.10\% < 1.0\%,$$

Allowable Criteria for Foundation Movement



Deflection Limit:

L / 240?

L / 360?

L / 480?

Why did we choose L/360?

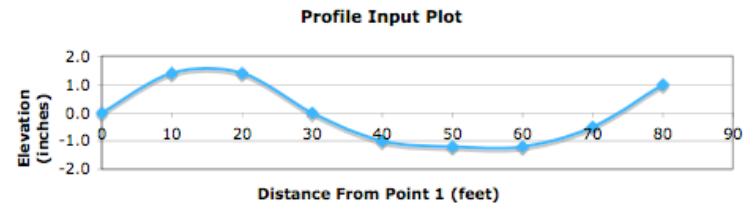
Determining Allowable Criteria for Foundation Movement Calculation Spreadsheet

Calculation Spreadsheet for FPA-SC-13-0 www.foundationperformance.org Rev. 15 Jul 07
Guidelines for the Evaluation of Foundation Movement for Residential and Other Low-Rise Buildings

Instructions to Compute Deflection Limit, Tilt and k-factored Deflection Limit:

- a) Input distances along profile into blue "L" cells from one edge of slab to the other.
(Spacing may be unequal, first L must be zero, each successive L greater than the previous)
- b) Input elevations measured at each "L" into blue "Y" cells
(Start with Point 1; For less than 9 data sets, leave extra cells "empty", not zero)
* If Non-Principle Axis is used, enter Length and Width. Otherwise let Length=1, Width=0.01
** L/360 (Max. Allowable Deflection Limit) is used when L is along a principal axis. If Length L is not parallel to a principal axis, L is modified by the k-factor to adjust the Max. Allowable Deflection Limit to as much as L/255 for k-factors up to 1.414

PROFILE INPUT		*Length 59.0		*Width 54.0 (ft)					
	Point 1	Point 2	Point 3	Point 4	Point 5	Point 6	Point 7	Point 8	Point 9
L (ft)	0	10	20	30	40	50	60	70	80
Y (in)	0.0	1.4	1.4	0.0	-1.0	-1.2	-1.2	-0.5	1.0



OUTPUT	ACTUAL	ALLOWABLES	PASS/FAIL
Deflection=	L / 253	L / 266	FAIL
Tilt =	0.10 %	1.00 %	PASS

User input is in the blue cells only and echoed in the Input Plot. Output is in the yellow cells.

Deflection between points 1 and 3: $Deflection = Y2 - [Y1 + (L12/L)(Y3 - Y1)]$
Points 1 & 3 are end points of any intermediate span chosen by spreadsheet;
Point 2 is any point chosen by spreadsheet that falls in between 1 & 3

Edge-to-edge Tilt: $Tilt = (100\%) |Yb - Ya| / Lab$

k-factor: $k = \sqrt{Length^2 + Width^2} / Length$

This spreadsheet was used by the Foundation Performance Association to determine the appropriate deflection threshold, and is provided to FPA members as a courtesy and with no guarantee of its accuracy. Section 6 of FPA-SC-13-0 provides definitions and further information on the calculations performed.

In Summary...

Deflection Limit: $kL / 360$

Tilt: 1% maximum

FPA-SC-13-0 Guidelines for the Evaluation of Foundation Movement for Residential and Other Low-Rise Buildings
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Page 1 of 50

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FOUNDATION PERFORMANCE ASSOCIATION

QUESTIONS?

Download “Guidelines for the Evaluation of Foundation Movement for Residential and Other Low-Rise Buildings” at:

http://www.foundationperformance.org/committee_papers.cfm